

THE MINISTRY OF AGRICULTURE OF THE REPUBLIC OF KAZAKHSTAN

S.SEIFULLIN KAZAKH AGROTECHNICAL UNIVERSITY

DEPARTMENT OF «FINANCE»

APPROVED BY
Dean of Economic faculty
S. Aitkhozhin S.Aitkhozhin
« *12* » *2019* 2019
ФАКУЛЬТЕТИ
ДЕКАНАТЫ

**Discipline work program
(SYLLABUS)**

Discipline: Securities market
Educational program "Banking", "Taxes and taxation"
Specialty: 5B050900 - Finance

Nur-Sultan 2019

Working educational program discipline «Securities market» (Syllabus) is made on the basis of the catalog of elective disciplines of the specialty 5B050900 «Finance» (№20, 14.06.2017) and the approved curriculum of the specialty (№18, 14.05.2017)

Reviewer:

1. Shestakova T. A. – «Akmola Kurylys Materialdary» AK Gosper-economically BLM Bastia;
2. Ergalieva D. E. - Nur-Sultan Saryarka Alesina Audrina Department
3. Orazbayev R. «Bank of Nur-Sultan» AK fileline Basarwa Directors

Considered at the meeting of the Department of Finance, Protocol No. 1 of August 27, 2018.

Chair of the department



Z.A. Baimagambetova

Recommended by the methodical commission of the Faculty of Economics
Protocol No. 1, «_7_» September 2019
(day, month, year)

Chairman of the MC



S. Daripbaeva

1. Information about the teacher.

Lecturer – candidate of economic Sciences, acting associate Professor D. A. Tleuhanova
Seminar-candidate of economic Sciences, acting associate Professor Tleuzhanova D. A.
Kazakh agrotechnical University.S. Seifullin.

Department of Finance, tel: 395-807

Consultations: according to the schedule of consultation at the Department

Subparagraph 1.1 of the Syllabus should be supplemented by the following: “Classes are held according to the approved schedule using distance technologies in «online» and «offline» modes.

2. Information about the discipline

Mandatory module on specialty 5B050900- Finance

Code and of the discipline - SM 3219 Securities market

Name and code modules - 10 Financial and Insurance module; Cycle / component - basic subject / component of choice

Distribution of hours

Weeks of the trimester	1	2	3	4	5	6	7	8	9	10	total
lectures	1	1	1	1	1	1	1	1	1	1	10
Practical class	2	2	2	2	2	2	2	2	2	2	20
Independent work of a student with a teacher (IWST)	1	1	2	2	1	1	1	1	1	1	12
Independent work of the student (IWS)	4	4	6	6	6	6	4	4	4	4	48
total	8	8	11	11	10	10	8	8	8	8	90

3. Course prerequisites

- * Economic theory;
- * Finance;
- * Money, loans, banks.

4. Post-requisites of the course

- * Tax law;
- * Foreign tax;
- * Organization of the tax system of Kazakhstan;
- * Financial management.

5. Discipline summary:

The purpose of the course: to familiarize students with the various sections of the course and study their fundamentals of the Securities market, the nature, type of securities, the activities of participants in the Securities market, the legal framework of the Securities market.

To achieve these goals in the process of studying this discipline it is necessary to solve the following tasks:

- The concept of securities and their types;
- The study of the circulation of securities on the Securities market;
- Consideration of Securities market participants and their activities in the Securities market;
- Identification of the main problems of the Securities market and ways to solve them.

The course " Securities market "is the basic for the specialty" Finance", the purpose of which is to consider the system of relations arising in the process of issuance and circulation of financial instruments such as securities. Teaching students the features, activities of financial instruments not only on the Kazakhstan stock exchange, but also on the world securities market. Within the framework of the course, you can get acquainted with what securities are issued, what advantages they provide to issuers, what the stock exchange is needed for, professional participants of the Securities market. The Securities market is a continuous part of the financial system of any country and high prospects for its development.

Students studying the course:

- first, to study the Theoretical aspects of the functioning of the Securities market, consider the economic substance of securities, the functions and principles of securities and stages of development of the Securities market in the Republic of Kazakhstan, the main conceptual directions of the Securities market of the country and etc.;

- secondly, to deepen the study of the practice of securities, as well as to learn the practical calculation of the assessment of specific securities;

- third, the ability to apply in practice on the basis of in-depth study of theoretical and practical aspects of the Securities market.

A. Consideration of stages of formation, reforms of the Securities market of the Republic of Kazakhstan at the theoretical level of development and functioning of securities;

B. Obtaining information on the basis of legal acts on the Securities market of Kazakhstan;

C. In certain cases to reveal contradictions of behavior of participants of legal relations with securities and to develop offers, to consult on the basis of the analysis of provisions of the legislation, to compare various situations in the legal sphere;

D. Ability to recognize patterns and trends in the Securities market of foreign countries and Kazakhstan;

E. Analysis of the state, indicators of operations in the Securities market of the country.

Taxonomy of educational objectives in the cognitive process:

know: the nature and role of securities in the economy, Especially the organization of the Securities market, legislative acts of the Republic of Kazakhstan in the Securities market;

understanding: development of information on changes in the Securities market in the Republic of Kazakhstan;

application: consideration of the order of transactions with securities, the order of their evaluation, application in determining the order of accounting for types of securities;

analysis: analysis of the structure and composition of the Securities market of Kazakhstan;

synthesis: mastering the skills of analytical work to assess the effectiveness of the Securities market of Kazakhstan;

assessment: development of logical thinking skills and management decision-making based on the analysis of problems in the Securities market of Kazakhstan.

6 The content of the discipline

6.1 The list of lectures

	The name of the theme	The amount, hour.	literature
1. Module. Formation of Securities market and types of securities	1. The formation of the Securities market in the Republic of Kazakhstan. 1. Denationalization and privatization in Kazakhstan as a prerequisite for the formation of the Securities market 2. Types and Functions of the Securities market 3. Structural segments of the Securities market 4. Securities market participants	1	1,2,3,6,7 (9-136.), 8(6-126.), 23,25, periodicals
	2. Securities market regulation and securities: concept, functions, features Content, goals and objectives of Securities market regulation. Methods of its regulation. State regulation of the Securities market of Kazakhstan. Plan of lectures: 1. General provisions the Concept of Securities market regulation 2. Types of Securities market regulation 3. General provisions Economic substance and definition of securities 4. Classification characteristics of securities	1	10 (260-266 б.), 3 (17-226.), 11(373-384б.), 4 (11-37 б.), 1 (41-54б.), 8(16-50б.), 1, 4,10,14,18,25,26 4,5,6,12,14, periodicals
	3. Share and joint-stock company Classification of legal forms of enterprises according to the current legislation in Kazakhstan. Joint-stock company: management, composition. Methods of placement of shares. Features of transactions with shares of JSC. General characteristics of the shares. Shares are common and preferred. The types of preferred shares. The order of registration, sale, alienation by other means of registered shares. The valuation of the shares. Return on shares. Plan of lectures: 1. General characteristics of shares 2. Common and preferred shares 3. The value of shares 4. The yield of the stock	1	10 (55-78 б.), 7 (56-65 б.),1,2,4,6,8,25, 27, periodicals
	4. Bonds Bonds: detailed characteristics. Bonds of enterprises and their varieties. Legal norms in the Republic of Kazakhstan, which determine the procedure for the functioning of bonds of joint-stock companies.	1	1,2, 3 (78-91б.), 7 (79-101б.), 4,5,6, 8, 25, periodicals

	<p>Varieties of bonds: registered and not bearer, interest and discount, freely circulating and with limited circulation. Volume and dynamics of bond issue in Kazakhstan. Methods of payment of income on bonds. Ways of bond redemption. Comparative characteristics of stocks and bonds.</p> <p>Plan of lectures:</p> <ol style="list-style-type: none"> 1. General characteristics of bonds 2. Classification of bonds 3. Bond yield 4. Difference in stocks and bonds 		
	<p>5. Government securities</p> <p>Characteristics of government securities. Types and classification of debt obligations of the Government of the Republic of Kazakhstan. The purpose of the major stakeholders of the issue.</p> <p>Plan of lectures:</p> <ol style="list-style-type: none"> 1. General characteristics of government securities. 2. Classification of government securities 3. Types of government securities of Kazakhstan. 4. Government securities profitability 	1	10 (124-139 6.), 25, 1,2,4,5,6, 7, 25, periodicals
	<p>6. Derivative security and other commercial securities</p> <p>General characteristics of derivative securities. The types of derivative securities. Options, their characteristics and types. Option price. Futures and their nature and types. The futures price. The difference between futures and options. Warrants and their character and types. Depositary receipt.</p> <p>Plan of lectures:</p> <ol style="list-style-type: none"> 1. General characteristics of derivative securities 2. The types of derivative securities 3. Determination of the price of derivative securities 4. General provisions the Value of commercial securities 	1	10 (155-1846.), 10 (116-1246.), 7 (138-1606.), 1, 2, 4,5, 6, 8, 25, periodicals
2. Module Organization of issue and circulation of securities	<p>7. Securities market infrastructure Professional participants of the Securities market</p> <p>The concept of the infrastructure of the Securities market. Types of Securities market infrastructure. Legislative, technical and institutional infrastructure. Classification of economic factors determining the Securities market</p> <p>Plan of lectures:</p> <ol style="list-style-type: none"> 1. General provisions the Concept of Securities market infrastructure 2. Types of Securities market infrastructure 3. Legal, legal, institutional infrastructure of the Securities market 4. General Concept, functions and classification of professional Securities market participants 	1	10 (212-2356.), 1, 2,4, 5, 6, 8, 11,12, 23, 25, periodicals

	<p>8. Stock exchange and organization of its activities The organization of exchange transactions The purpose of the creation and operation of the stock exchange. Functions of the stock exchange. Existing laws in the Republic of Kazakhstan on the establishment and operation of stock exchanges.</p> <p>Plan of lectures:</p> <ol style="list-style-type: none"> 1. General obligations, functions, principles of stock exchanges 2. Stock exchange 3. The of stock exchange 4. Structural subdivisions of exchanges 5. The role of stock exchanges in the development of the state 	1	10 (239-2536.), 7 (177-200 6.), 1 (12-69 6.), 2 (39-90 6.), 6, 9, 25, periodicals
	<p>9. Stock indices and indicators The value of stock indices. The impact of stock indices on the Securities market. Methods of calculation of stock indices. Arithmetic mean, geometric mean, and arithmetic-weighted methods. The Dow Jones industry, transport, communications. The index of NIKKEI and TOPIX. Index AMEX NASDAQ, NYSE, S&P, DAX LINT VALUE, and market indices. Their differences from the index. Stock indices in Kazakhstan.</p> <p>Plan of lectures:</p> <ol style="list-style-type: none"> 1. General provisions the Value of stock indices 2. Methods of calculation of stock indices 3. Industrial, transport, communication and total Dow Jones indices. 4. Market index. Indicators of Kazakhstan's reserves 	1	11 (277-280 6.), 25, periodicals
	<p>10. The Securities market of foreign countries Evolution and modern structure of the world Securities market. History of the Securities market. Circulation of securities and stock exchanges. Regulation of the securities market.</p> <p>Plan of lectures:</p> <ol style="list-style-type: none"> 1. General provisions international financial market and its structure 2. International Securities market 3. Features of the US Securities market 4. Features of the Japanese Securities market 5. Features of the European Securities market 	1	11(366-3836.), 13(164-2636.), periodicals
	Total	10	

6.2 The list of practical classes

	The name of the theme	The amount, hour.	literature	Current control, points
1. Module. Formation of	1. The formation of the Securities market in the Republic of Kazakhstan. 1. Denationalization and privatization in Kazakhstan as a prerequisite for the formation	2	1,2,3,6,7 (9-136.), 8(6-126.), 23,25, periodicals	50-100

Securities market and types of securities	of the Securities market 2. Types and Functions of the Securities market 3. Structural segments of the Securities market 4. Securities market participants			
	2. Securities market regulation and securities: concept, functions, features 1. General provisions the Concept of Securities market regulation 2. Types of Securities market regulation 3. General provisions Economic substance and definition of securities 4. Classification characteristics of securities	2	10 (260-266 б.), 3 (17-22б.), 11(373-384б.), 4 (11-37 б.), 1 (41-54б.), 8(16-50б.), 1, 4,10,14,18,25, 26 4,5,6,12,14, periodicals	50-100
	3. Share and joint-stock company 1. General characteristics of shares 2. Common and preferred shares 3. The value of shares 4. The yield of the stock	2	10 (55-78 б.), 7 (56-65 б.),1,2,4,6,8,25, 27, periodicals	50-100
	4. Bonds 1. General characteristics of bonds 2. Classification of bonds 3. Bond yield 4. Difference in stocks and bonds	2	1,2, 3 (78-91б.), 7 (79-101б.), 4,5,6, 8, 25, periodicals	50-100
	5. Government securities 1. General characteristics of government securities. 2. Classification of government securities 3. Types of government securities of Kazakhstan. 4. Government securities profitability	2	10 (124-139 б.), 25, 1,2,4,5,6, 7 ,25, periodicals	50-100
	6. Derivative security and other commercial securities 1. General characteristics of derivative securities 2. The types of derivative securities 3. Determination of the price of derivative securities 4. General provisions the Value of commercial securities	2	10 (155-184б.), 7 (138-160б.), 1, 2, 4,5, 6, 8, 25, periodicals	50-100

2. Module Organization of issue and circulation of securities	7. Securities market infrastructure Professional participants of the Securities market 1. General provisions the Concept of Securities market infrastructure 2. Types of Securities market infrastructure 3. Legal, legal, institutional infrastructure of the Securities market 4. General Concept, functions and classification of professional Securities market participants	2	10 (212-235б.), 1, 2,4, 5, 6, 8, 11,12, 23, 25, periodicals	50-100
	8. Stock exchange and organization of its activities The organization of exchange transactions 1. General obligations Of stock exchanges 2. Stock exchange functions 3. The principles of stock exchange 4. General provisions the Definition of stock exchange transactions 5. The role of stock exchanges in the development of the state	2	10 (239-253б.), 11 (177-200 б.), 1 (12-69 б.), 2 (39-90 б.), 6, 9, 25, periodicals	50-100
	9. Stock indices and indicators 1. General provisions the Value of stock indices 2. Methods of calculation of stock indices 3. Industrial, transport, communication and total Dow Jones indices. 4. Market index. Indicators of Kazakhstan's reserves	2	11 (277-280 б.), 25, periodicals	50-100
	10. The Securities market of foreign countries 1. General provisions international financial market and its structure 2. International Securities market 3. Features of the US Securities market 4. Features of the Japanese Securities market 5. Features of the European Securities market	2	11 (366-383б.), 9(164-263б.), periodicals	50-100
	Total	20		50-100

Criteria for assessing the tasks of practical lessons

During the trimester, the current control is carried out on a weekly basis, which includes participation in lectures, practical classes and independent work.

Economic dictation is the written knowledge of students on the knowledge of economic terms. In the course of Online learning, testing is the best tool for checking students' academic performance

The level of theoretical comprehension of each topic is determined based on economic dictation or an oral survey. And the level of practical learning is set by solving practical problems.

The control (written) work consists of tasks on the studied sections of the course program and is performed by students in the SRS classes, consists of theoretical and practical (creative) tasks.

Homework: homework assignments are required to implement and be accepted according to the schedule in the seminars, practical classes.

Individual independent work: here the seminars take into account the independent completion of tasks, homework, creative tasks on the topics of courses, the use of scientific data, situation analysis, newspaper and other information messages. The assessment is also included in the assessment of classes, and can also be set individually.

Milestone tests: 15 or more test questions and tasks on the topics and sections of the course. The points obtained from the control tests are entered in the final points

6.3 IWS lead and holding time:

№	Topic	The content of the job	Literature	Form of control	Dates of the event (week)	Upper rating scale
1.	Stages of Securities market development in Kazakhstan Analysis of the current state of the Securities market of the Republic of Kazakhstan	1. General description of the formation of the Kazakhstan Securities market; 2. The definition of the stages of development Securities market; 3. The difference between the Securities market and other markets It is necessary to analyze the Kazakhstan Securities market (for the last 3 years). Instruments and Securities market participants	1,2,3,4, 10,11,12 periodicals, electronic resources	Making a short summary. Describe the stage of development in the form of a graph	1-2	50-100
2.	The Securities market of Kazakhstan: problems and prospects	The analysis of a condition of Securities market of Kazakhstan Prospects of development of Securities market of Kazakhstan The main problems in the Securities market and ways to solve them	1,2,3,4, 9, 11 periodicals, electronic resources	Presentation of the results of the analysis in the form of abstract, and oral response	3-4	50-100
3.	The bond market of Kazakhstan: problems of development State of development of the state Securities market of Kazakhstan	The state of the bond market of Kazakhstan, the problems of the bond market of Kazakhstan. Ways to solve these problems	1,2,3,4, 9, periodicals, electronic resources	Analysis, oral response.	5-6	50-100
4.	Nur-Sultan International Financial Centre (AIFC): need and	Preconditions of creation of the financial centre. Function. Place in the Securities	1,2,3,4, 9, 15 periodicals, electronic	Demonstrate the structure in the form of	7-8	50-100

	functions	market. State analysis	resources	a diagram and justify the need in the form of an essay		
5.	Kazakhstan Stock Exchange: trading organization. Securities market improvement programs	RK Stock Exchange: general situation Rules for issuing securities by sector Methods of trading KASE The main goals and objectives of the program. Analysis of the implementation of programs	1,2,3,4 periodicals, electronic resources	Analysis. Substantiate the advantages of programs in the form of essays	9-10	

Summary: consistent consolidation of information of a free nature (quotes, extracts, theses).

Abstract: it is given on a specific topic, is a small research and creative work of the student. When evaluating the abstract, the disclosure of the topic, the use of scientific sources, the style of presentation, visibility, and content are taken into account. Deadlines for submission and defense of the abstract are carried out after the end of the topic, the work is not accepted or evaluated after the deadline.

Essay: a written statement of your opinion on a particular issue with reasonable conclusions and recommendations. The purpose of the Essay is to develop the skills of independent creative thinking and writing your thoughts.

In this case, you must fully Express yourself, using the necessary and sufficient evidence to disclose your opinion on a certain completed issue (without going beyond the scope of the issue under consideration) and critically evaluate the presented problematic opinions.

Summary evaluation criteria

- criteria for the selection of the necessary facts from the text
- criteria for the accuracy of fixing the material
- the amount of objectivity of the selected facts
- logical criteria for presentation
- the criterion of criticality of the text

Criteria for writing an Essay and abstract

- completeness criteria
- criterion of informativeness
- criteria for language and terminological validity
- the criterion of novelty
- criteria for creativity.

Evaluation criterion

In the discipline " A " - "securities market" - semantic and deep development of all topics. Generalization in practice of theoretical concepts in the field of development of the securities market, provided for by the discipline, with a description of their trends and conditions in a particular life. Correct and clear answers to questions according to the topic. Improving the role of modernization and globalization of the securities market at the present stage. Evaluating the effectiveness of the Kazakhstan securities market: the ability to make informed conclusions and recommendations to improve the development of the Kazakhstan securities market. Ability

to analyze the state and prospects of development of the securities market of Kazakhstan in modern conditions. Establishing the relationship between interdisciplinary and economic relations across all knowledge acquired.

"A -" - identify a specific problem for a given task. Combination with theoretical concepts of the considered experience in the discipline "securities market". The answer to the question should be clear and precise. Good knowledge of the role of modernization and globalization of the securities market in modern conditions of development. Evaluating the effectiveness of Kazakhstan's tax policy: the ability to make informed conclusions and recommendations to improve the development of the tax system. Ability to analyze the state and prospects of development of the tax system of Kazakhstan in modern conditions. It is good to know the basic concepts and give them specific examples in the answer.

Knowledge of all topics in the discipline "B+" - "securities market". Understanding the practical relationship of all theoretical concepts provided in the discipline, legislation. They make minor mistakes in the presentation of concepts, but they correct their mistake when answering with the help of the teacher. The study of all topics in General, and the answers to the questions.

The main concepts of the discipline " B " - "securities market" and be able to distinguish them. Giving a generalized conclusion. Ability to apply the acquired knowledge in practical classes, understand the meaning of scientific terms, as well as work with basic and additional literature, reference materials. Mistakes may be made on the topic.

"B -" - the ability to correctly Express your thoughts when answering, but deep semantic concepts may remain without mention. It does not disclose the relationship between cases that occur. Some answers do not match the meaning of the question. In General, they are concerned about and explain the main problems in the development of the financial sector, but they cannot convey its final essence. Some responses are not clear.

"C+" - may deviate from the topic when answering. Analysis on the main issues of the securities market is not carried out.

"C" - low level of knowledge, some of the questions answered correspond to the topic. Can't connect theoretical knowledge on a topic with practice. In the discipline "securities market" can only respond to certain comments.

Can not draw conclusions on topics in the discipline "C -" - "securities market". Some responses may contain semantic errors. Information and sends them in combination with non-software on this topic by mistake. Some information and comments are relevant to the topic.

It can not determine information on the topics of the discipline" D+ " - "securities market", the General conclusion is not made. The data provided in the response does not correspond to the subject. Comparative analysis is not performed. According to the data provided, many errors will be made. Do not answer the main questions on this topic.

Does not understand the basic definitions of the discipline " D " - "securities market", and can not use them as an answer to a specific question. Gross errors are allowed in the response. In order to clarify the mistakes made, correct answers to additional questions are not given.

General knowledge of the discipline "FX", " F " - "securities market" is not mastered, there is no clear answer, can not explain the General direction of the topic in the answer and draw conclusions. Involuntary errors are allowed in the response. The subject deviates from the program and directs a lot in the responses. Refusal to issue a response

7. The main forms and methods of training should be supplemented according to the requirements of Distance Learning Technologies (DLT) (individually).

Forms and methods used in training:

- lectures-questions are presented in a logical sequence. After each question the conclusion is drawn. The lecture will cover issues that have not yet been published in some new, valuable scientific literature. Students record the content of the materials, evidence, specific examples, the main conclusions.

- Visual presentation (illustration and demonstration) - Learning material is often related to visual aids and technical tools used in the learning process.
- By means of practical training methods, students practice practical activities, form practical skills and skills (oral, written, graphic exercises).
- Research method-used to better study the experience of creative work. Using the research method, the student uses knowledge, learns to work with the methods of scientific knowledge, summarizes the experience of solving new problems.
- The method of problem statement-statement of the problem situation to the student, the formulation of questions, the issuance of cognitive tasks, tasks.
- Independent work-in the process of doing this work develops creative, cognitive activity of students, solved the problem of motivation for their future profession, the main is able to rationally organize their time..
- Homework. Skills of students are formed in the process of homework.

8. Basic and additional literature

Basic literature:

1. Р. А. Исмаилова, В. Ж. Алпысова Рынок ценных бумаг : учеб. пособие / Астана : КазАТУ им.С.Сейфуллина, 2016. - 159 с.
2. Р. А. Исмаилова, О. В. Мисник Практикум к практическим (семинарским занятиям) по дисциплине "Рынок ценных бумаг" для студентов специальности 5В050900 - "Финансы" : практикум /; - Астана : КАТУ им.С.Сейфуллина, 2017. - 96 с.
3. К. М. Жакишева Рынок ценных бумаг: учебное пособие.Астана : КазАТУ им. С. Сейфуллина, 2010. - 125 с.
4. Тлеужанова Д.А. Бағалы қағаздар нарығы.Оқу құралы.А,2009
5. «О рынке ценных бумаг» Закон РК 2 июля 2003 года № 461-ІІ. (с изменениями и дополнениями по состоянию на 02.01.2019 г)
<https://www.finreg.kz/?docid=3491&switch=russian&showall>
6. Securities market Trading Volume//Andrew W. Lo and Jiang Wang
<https://www.freebookcentre.net/business-books-download/Stock-Market-Trading-Volume.html>
7. Investing and Trading Book
<https://corporatefinanceinstitute.com/resources/ebooks/investing-trading-book-pdf/>
8. Securities market <https://www.finreg.kz/?docid=3479&switch=english>

Additional literature:

9. Көшенова Б.А. Бағалы қағаздар нарығы және биржа ісі.Оқу құралы.А,2009
10. Рынок ценных бумаг./Галанов В.А.,Басова.И. 2002г.
11. Килячков А.А. Рынок ценных бумаг, 2005г.
12. Амангельдиева Ж. А., Матайбаева Г. А Қаржы. Оқу құралы. Қазақстан Республикасы Ауыл шаруашылығы министрлігі. Астана: С.Сейфуллин атындағы ҚазАТУ, 2010
13. Тлеужанова Д.А. Қазақстан Республикасының қаржылық институттары қызметін басқару Алматы 2010
14. ИскаковУ.М., Бохаев Д.Т., Рузиева Э.А. Қаржы нарығы және делдалдары Алматы 2005
15. Рынок ценных бумаг:курс в схемах /Ред. А.А.Килячков, Л.А.Чалдаева -М:2005
16. Анесянц С.А.Основы функционирования рынка ценных бумаг: уч. пособие для студентов вузов -М, 2005
17. Ческидов Б.М.Модели рынков ценных бумаг -СПб: 2006
18. Ценные бумаги и фондовая биржа / ред В.А.Лялин, П.В.Воробьев -М:2000
19. Мельников, В. Д. Финансы:учебник ./В.Д.Мельников, К.К.Ильясов.-Алматы:2001г.
20. Фондовый рынок. Курс для начинающих/ Перевод с англ. -М:Альпина Паблишер,2002

Electronic resource

1. Zhumakhanova, Sh. A. Investment activity of the insurance companies at the **Securities market** [Текст] : секция: Актуальные экономические проблемы аграрного сектора Казахстана / Sh. A. Zhumakhanova // Сейфуллин окулары-12: Ғылым жолындағы жастар - болашақтың инновациялық әлеуеті" атты Республикалық ғылыми-теориялық конференциясының материалдары = Материалы Республиканской научно-теоретической конференции "Сейфуллинские чтения - 12: "Молодежь в науке - инновационный потенциал будущего". - Астана : КазАТУ, 2016. - Т.1, ч.2. - Р364-365
<https://library.kazatu.kz/jirbis2/index.php/component/irbis/>
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9. The policy of course:

1. The organization of the educational process using distance learning technologies provides for conducting training courses in «online» and «offline» modes and is carried out in accordance with the established training load, educational programs, with a working curriculum and lesson schedule; Training sessions in "offline" mode provide for the process of educational interaction, in which the teacher and student communicate asynchronously, ie through their own AIS platform "PLATONUS", SDL "MOODLE", and their internal chat and forum communication services. Training sessions in "online" mode include the process of training interaction in real time: video conferencing (Zoom, Hangouts, etc.).

2. Students master the educational material of the disciplines (lectures, laboratory, practical, seminar and other types of classes) using the university's automated information systems (Platonus, moodle, Unihab automated written verification system) and online platforms, including Zoom, or using other publicly accessible platforms through the Internet, while being outside the university.

3. Before the start of the trimester, students should learn how to use distance learning technologies and when registering for an online lesson, fill out all sections: last name, first name, group, course, specialty, put their photo in profile. Students must create a workplace in advance (turn off extraneous sounds, do not allow unauthorized persons). Students must follow the dress code and look tidy.

4. Classes are held strictly on schedule, being late, skipping lessons, leaving online classes are not allowed. Class attendance is recorded and monitored daily. During classes, the sound can be turned off (on) by the organizer, if the teacher asks a question, you can answer by raising your hand using a special function on the computer. Questions that arise during classes can be asked in the chat.

5. Current control of students is carried out in accordance with the working curriculum of the discipline (syllabus) and grades are put in electronic journals in AIS Platonus via online resources in online mode in accordance with the QMS "Control of knowledge and conducting final examination " and instructions for filling out the electronic journal and student performance in AIS Platonus " which are placed in the EDMC "ARTA".

6. Responsibility for the timely completion of teachers' assignments in disciplines using DOT in online mode is held by students. If there is no possibility of DOT training, the student is obliged to inform his curator/adviser/head of the department/dean of the faculty through any means of communication.

7. Instructions for the use of DLT in NJSC "S.Seifullin KATU " are placed in the " Announcements " section of AIS" Platonus " and SDL "Moodle " as well as in the " DLT "section of the EDMC "Arta".

Schedule of completion and submission of assignments for the discipline

Type of control	Academic period of study, week									
	1	2	3	4	5	6	7	8	9	10
Attendance	*	*	*	*	*	*	*	*	*	*
Lecture notes										*
Oral Interview	*	*	*	*	*	*	*	*	*	*
Test poll					*					*
abstract				*				*		
Essay										
Border testing							*			
Total										

* *Note: the types of tasks and the frequency of monitoring are developed by the teacher depending on the specifics of the discipline being taught.*

4 ASSESSMENT OF KNOWLEDGE

4.1 Evaluation criteria

Evaluation of all types of tasks is carried out on a 100-point system.

Current monitoring is carried out every week and includes monitoring visits to lectures, practical classes and doing independent work.

Boundary control of knowledge is conducted at 7 and 15 weeks of the semester in the form of testing. The rating is based on the following types of control *:

Attestation period	Type of control, specific gravity, %							
	Attendance	Seminar classes	Independent work	Test poll	abstract	Homework	Border testing	Total
Rate 1	5	5	10	15	5	10	50	100
Rate 2	5	5	10	15	5	10	50	100

** **Note:** the types and specific weight of the current monitoring are developed by the teacher depending on the specific nature of the discipline being taught.*

Examination in the discipline takes place during the examination session in the form of testing.

The final assessment of the student's knowledge of the discipline includes:

- 40% of the result obtained in the exam;
- 60% of the results of current academic performance.

The formula for calculating the final score:

$$IO = \frac{P_1 + P_2}{2} \cdot 0,6 + \mathcal{E} \cdot 0,4$$

where P1, P2 are digital equivalents of the first and second ratings, respectively;

\mathcal{E} is the digital equivalent of the assessment in the exam.

The alphabetic system for assessing students' learning achievements, corresponding to a digital equivalent on a four-point system

Score by letter-	Digital equivalent	points	% content, Evaluation
A	4,0	95–100	excellent
A–	3,67	90–94	
B+	3,33	85–89	good
B	3,0	80–84	
B–	2,67	75–79	
C+	2,33	70–74	satisfactorily
C	2,0	65–69	
C–	1,67	60–64	
D+	1,33	55–59	
D	1,0	50–54	unsatisfactory
FX	0,5	0–49	
F	0	0-24	